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06-01-01

PTO/SB/09 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No. S01.12-0805/STL 9643
First Inventor or Application Identifier Joel W. Hoehn et al.
Title PROCESS FOR PRODUCTION OF ULTRATHIN
PROTECTIVE OVERCOATS
Express Mail Label No. EL844346055US

1000 U.S. 09/871004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☐ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **26**]
(preferred arrangement set forth below)
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **2**]
- Oath or Declaration [Total Sheets ☐]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

*** NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (Identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO - PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity Statement(s) filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: Unexecuted Declaration
Transmittal Letter

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation -in part (CIP)

of prior application No. _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Date of Deposit: May 31, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: New U.S. Patent Application of:
Applicant : Joel W. Hoehn et al.
For : PROCESS FOR PRODUCTION OF ULTRATHIN
PROTECTIVE OVERCOATS
Our File : S01.12-0805/STL 9643

Dear Sir:

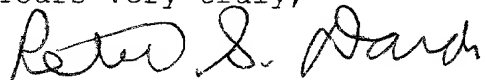
Enclosed for filing are the following papers in connection
with the above-identified patent application:

1. Complete specification and claims.
21 pages Specification
4 pages claims
1 page Abstract
2. Unexecuted Declaration in Original Application
(3 pages).
3. 2 sheets of drawings.
4. Utility Patent Application Transmittal

The filing fee is not enclosed with this communication.
Pursuant to 35 USC § 111 and 37 CFR §§ 1.53(b) and 1.53(f), the
filing fee, executed Declaration and executed Verified Statement
Claiming Small Entity Status (if applicable) will be filed
separately.

A filing date under 37 CFR §§ 1.10(b) and 1.53(b) of May 31,
2001 is respectfully requested. The enclosed materials are being
sent "Express Mail Post Office to Addressee" as of the date of
this letter.

Yours very truly,



Peter S. Dardi, Ph.D.
Reg. No. 39,650

PSD/djb
Enc.

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